



JC951 U.S. PTO

02-0702

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. SONYJP 3.0-237	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor Isao Takeuchi	
		Title TRANSMITTING APPARATUS AND, etc.	
		Express Mail Label No.	
APPLICATION ELEMENTS		ADDRESS EL804517335US Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 31] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>		a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]		b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper	
5. Oath or Declaration [Total Pages ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		c. <input type="checkbox"/> Statements verifying identity of above copies	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		ACCOMPANYING APPLICATIONS PARTS	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ Prior application information: Examiner _____ Group / Art Unit: _____		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney	
19. CORRESPONDENCE ADDRESS		11. <input type="checkbox"/> English Translation Document (if applicable)	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
000530		13. <input type="checkbox"/> Preliminary Amendment	
Name		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
Address		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
City		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
Country		17. <input checked="" type="checkbox"/> Other Unexecuted Declaration	
State			
Zip Code			
Telephone			
Fax			
Name (Print/Type) Robert B. Cohen		Registration No. (Attorney/Agent) 32,768	
Signature		Date February 5, 2002	

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10/067384

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FEE TRANSMITTAL for FY 2002				Complete if Known			
Patent fees are subject to annual revision.				Application Number		Not Yet Assigned	
				Filing Date			
				First Named Inventor		Isao Takeuchi	
				Examiner Name		Not Yet Assigned	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				Group Art Unit		N/A	
TOTAL AMOUNT OF PAYMENT		(\$)		1,076.00		Attorney Docket No.	
						SONYJP 3.0-237	
METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				3. ADDITIONAL FEES			
<input checked="" type="checkbox"/> Deposit Account				Large Entity Small Entity			
Deposit Account Number 12-1095				Fee Code Fee (\$)			
Deposit Account Name Lerner, David, Littenberg, Krumholz & Mentlik, LLP				Fee Code Fee (\$)			
The Commissioner is hereby authorized to: (check all that apply)				Fee Description Fee Paid			
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments				105 130 205 65 Surcharge - late filing fee or oath			
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application				127 50 227 25 Surcharge - late provisional filing fee or cover sheet.			
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				139 130 139 130 Non-English specification			
1. BASIC FILING FEE				147 2,520 147 2,520 For filing a request for ex parte reexamination			
Large Entity Small Entity				112 920* 112 920* Requesting publication of SIR prior to Examiner action			
Fee Code Fee (\$)				113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
101 740 201 370 Utility filing fee				115 110 215 55 Extension for reply within first month			
106 330 206 165 Design filing fee				116 400 216 200 Extension for reply within second month			
107 510 207 255 Plant filing fee				117 920 217 460 Extension for reply within third month			
108 740 208 370 Reissue filing fee				118 1,440 218 720 Extension for reply within fourth month			
114 160 214 80 Provisional filing fee				128 1,960 228 980 Extension for reply within fifth month			
SUBTOTAL (1) (\$)				119 320 219 160 Notice of Appeal			
740.00				120 320 220 160 Filing a brief in support of an appeal			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				121 280 221 140 Request for oral hearing			
Total Claims 19 -20** = 0 x Fee from below = 0.00				138 1,510 138 1,510 Petition to institute a public use proceeding			
Independent Claims 7 -3** = 4 x 84.00 = 336.00				140 110 240 55 Petition to revive - unavoidable			
Multiple Dependent				141 1,280 241 640 Petition to revive - unintentional			
Large Entity Small Entity				142 1,280 242 640 Utility issue fee (or reissue)			
Fee Code Fee (\$)				143 460 243 230 Design issue fee			
103 18 203 9 Claims in excess of 20				144 620 244 310 Plant issue fee			
102 84 202 42 Independent claims in excess of 3				122 130 122 130 Petitions to the Commissioner			
104 280 204 140 Multiple dependent claim, if not paid				123 50 123 50 Processing fee under 37 CFR 1.17(q)			
109 84 209 42 ** Reissue independent claims over original patent				126 180 126 180 Submission of Information Disclosure Stmt			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent				581 40 581 40 Recording each patent assignment per property (times number of properties)			
SUBTOTAL (2) (\$)				146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))			
336.00				149 740 249 370 For each additional invention to be examined (37CFR 1.129(b))			
**or number previously paid, if greater, For Reissues, see above				179 740 279 370 Request for Continued Examination (RCE)			
SUBMITTED BY				Other fee (specify)			
Name (Print/Type) Robert B. Cohen				Registration No 32,768			
Signature				Telephone (908) 518-6316			
				Date February 5, 2002			
				SUBTOTAL (3) (\$)			
				0.00			